

FAX TRANSMITTAL COVER SHEET

TO: U. S. Patent and Trademark Office
Technology Center 3700
Attn: Examiner, Scott M. Getzow
Art Unit: 3762

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DATE: February 23, 2005

FROM: Carol G. Mintz

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CLIENT NO.: 2105-01902

TOTAL NUMBER OF PAGES (INCLUDING THIS ONE)

8

COMMENTS:Re: U. S. Patent Appln. No. 09/303,313
Filing Date: April 30, 1999
Applicant(s): S. Ward Casscells III

The following documents are attached for filing:

- Transmittal Form (PTO/SB/21) (1 p.)
- Revocation of Power of Attorney With New Power of Attorney and Change of Correspondence Address (1 p.)
- Statement Under 37 CFR 3.73(b) (PTO/SB/96) (1 p.)
- Copy of Notice of Recordation of Assignment Document with a copy of Assignment (4 p.)

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being sent by facsimile transmission on the date shown below to the facsimile number above to the U.S. Patent and Trademark Office, in accordance with 37 CFR § 1.6(d).

Frances Hampton

(Type or print name of person faxing paper)

Date: February 23, 2005

Frances Hampton
(Signature of person faxing paper)

This facsimile and the information it contains is intended to be a confidential communication only to the person or entity to whom it is addressed. If you have received this facsimile in error, please notify us by telephone.

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PTO/SB/21 (09-04)

Approved for use through 07/31/2005. OMB 0651-0032
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/303,313
		Filing Date	April 30, 1999
		First Named Inventor	S. Ward Casscells III
		Art Unit	3762
		Examiner Name	Scott M. Getzow
Total Number of Pages in This Submission		Attorney Docket Number	2105-01902 (formerly 14351-0106)

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): • Fax Transmittal Cover Sheet • Statement Under 37 CFR 3.73(b) <i>(PTO/SB/96)</i> • Copy of Notice of Recordation of Assignment Document with a copy of Assignment
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm	CONLEY ROSE, P. C.	
Signature	<i>Carol G. Mintz</i>	
Printed name	Carol G. Mintz	
Date	February 23, 2005	Reg. No. 38,561

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or Printed Name	Frances Hampton		
Signature	<i>Frances Hampton</i>	Date	February 23, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/92 (08-04)

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**REVOCATION OF POWER OF
ATTORNEY WITH
NEW POWER OF ATTORNEY
AND
CHANGE OF CORRESPONDENCE ADDRESS**

Application Number	09/303,313
Filing Date	04/30/1999
First Named Inventor	S. Ward Casscells III
Art Unit	3762
Examiner Name	Scott M. Getzow
Attorney Docket Number	14351-0106

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:

23,505

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with
Customer Number:

23,505

OR

☐ Firm or
Individual Name

Address

City

State

Zip

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

SIGNATURE of Applicant or Assignee of Record

Signature

Name

Steven R. Collins, Interim Vice Chancellor and General Counsel - The University of Texas

Date

February 2, 2005

Telephone

713.500.3385

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 6 forms are submitted.

This collection of information is required by 37 CFR 1.38. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1480, Alexandria, VA 22313-1480. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1480, Alexandria, VA 22313-1480.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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PTO/SB/88 (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: S. Ward Casscells III and James T. WillersonApplication No./Patent No.: 09/303,313Filed/Issue Date: 04/30/1999

Entitled: Method and Apparatus for Heating Inflamed Tissue

The University of Texas System, a University

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

States that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 013428, Frame 0830, or for which a copy thereof is attached.

OR

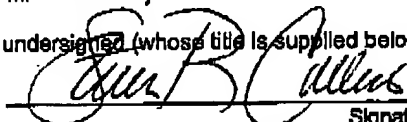
- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.
(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

February 2, 2005

Date

Steven R. Collins

Printed or Typed Name

713.500.3385

Telephone Number

Interim Vice Chancellor and General Counsel

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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 Patent and Trademark Office
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 OF PATENTS AND TRADEMARKS
 Washington, D.C. 20231

FEBRUARY 19, 2003

PTAS

OFFENHEIMER WOLFF & DONNELLY LLP
 BRUCE CANTER
 840 NEWPORT CENTER DRIVE
 SUITE 700
 NEWPORT BEACH, CA 92660

700024262A***700024262A***

UNITED STATES PATENT AND TRADEMARK OFFICE
 NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/12/2003

REEL/FRAME: 013428/0830
 NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

TEXAS HEART INSTITUTE

DOC DATE: 02/10/2003

ASSIGNEE:

BOARD OF REGENTS OF THE UNIVERSITY
 OF TEXAS SYSTEM, THE
 201 WEST 7TH STREET
 AUSTIN, TEXAS 78701

SERIAL NUMBER: 09303313
 PATENT NUMBER:

FILING DATE: 04/30/1999
 ISSUE DATE:

ANTIONE ROYALL, EXAMINER
 ASSIGNMENT DIVISION
 OFFICE OF PUBLIC RECORDS


FEB. 12, 2003 3:17PM

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02/12/2003
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Form PTO-1593 (Rev. 03/01) OMB No. 0441-0027 (exp. 3/31/2002)		RECORDATION FORM COVER SHEET PATENTS ONLY		U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office	
To the Commissioner for Patents: Please record the attached original documents or copy thereof.					
1. Name of conveying party(ies): (a) Texas Heart Institute Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			2. Name and address of receiving party(ies) Name: The Board of Regents of the University of Texas System Internal Address: Street Address: 301 West 7 th Street City: Austin State: TX ZIP: 78701 Additional name(s) and address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____			Execution Date: (a) February 10, 2003		
4. Application number(s) or patent number(s) If this document is being filed together with a new application, the execution date of the application is: A. Patent Application No.(s) 02/02,112 B. Patent No.(s) _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No					
5. Name and address of party to whom correspondence concerning document should be mailed: Name: Bruce Center Oppenheimer, Wall & Donnelly LLP Internal Address: Suite 700 Street Address: 840 Newport Center Drive City: Newport Beach State: CA ZIP: 92660			6. Total number of applications and patents involved: 1		
			7. Total fee (\$7 CPA, \$41) 48.00 <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account		
			8. Deposit Account number: 58-1901 (Attach duplicate copy of this page if paying by deposit account)		
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  2-11-03 <div style="text-align: right;">Date</div>					
Total number of pages (including cover sheet, attachments, and documents): _____					

Mail documents to be recorded with required cover sheet information to:
 Commissioner for Patents
 Box Assignments
 Washington, D.C. 20231

OC: 161777 v01 02/11/2003

ASSIGNMENT

WHEREAS, Texas Heart Institute, a not-for-profit corporation organized and existing under the laws of the State of Texas, having a place of business at 6770 Bertner Avenue, Houston, Texas 77030, the ASSIGNOR herein, is the sole owner of U.S. Patent Application Serial No. 09/303,313 titled "Heart Treatment of Inflamed Tissue", filed April 30, 1999; and

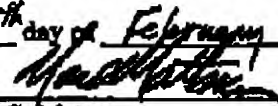
WHEREAS, the Board of Regents of the University of Texas System, a non-profit organization organized and existing under the laws of the State of Texas, having a place of business at 201 West 7th street, Austin, Texas 78701, the ASSIGNEE herein, desires to acquire the entire right, title and interest in and to said inventions, applications and Letters Patent to be granted and issued thereon;

NOW, THEREFORE, for valuable consideration, the receipt and legal sufficiency all of which is hereby acknowledged, I, the said ASSIGNOR, have sold and do hereby sell, assign, transfer and set over unto said ASSIGNEE, its successors and assigns, the entire right, title and interest in and to said inventions and all improvements thereon, in and to said application for Letters Patent thereon, in and to applications pertaining to or based upon said inventions and applications, including divisional and continuing applications and continuations-in-part, and in and to any and all Letters Patent which may be granted and issued on said inventions and applications, or any of them, not only for, to and in the United States of America, its territories and possessions, but for, to and in all countries foreign thereto, together with and including all priority rights based upon any and all applications in the United States of America covered by this Assignment.

And for the above-named considerations, I do hereby agree that I will, at the request of said ASSIGNEE, execute any and all applications for Letters Patent for said inventions and any and all other papers and documents and do all other and further lawful acts that said ASSIGNEE may deem necessary or desirable to obtain Letters Patent on said inventions, to secure the grant of such Letters Patent and to perfect and vest in the ASSIGNEE the entire right, title and interest in the inventions, applications and Letters Patent.

And for the above-named considerations, I do hereby authorize and empower the ASSIGNEE, its successors and assigns, to apply for and obtain, in its or their own names, Letters Patent for the said inventions before competent International Authorities and in any and all countries foreign to the United States in which applications for Letters Patent can be so made or Letters Patent so obtained.

DATED at Houston, Texas this 10th day of February, 2003.


Mark C. Matteson
CEO, Texas Heart Institute

Docket No.: 21120-106

1 of 2

FEB. 11. 2003; 3:55PM 32355 OP HEIMER OC

TEXAS HEART INST

NO. 759

IP. 4/437

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STATE OF TEXAS)
COUNTY OF Harris) ss.

On this 10th day of February 2003, before me personally appeared
Marc C. Anderson to me known or proved on the basis of satisfactory evidence to be
the person whose name is subscribed to the foregoing instrument and acknowledged to me that
he/she executed the same.

(SEAL)

Maureen A. Jones
Notary Public



Docket No. 21120-104

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